

GUJARAT TECHNOLOGICAL UNIVERSITY

B.VOC - SEMESTER-IV EXAMINATION – WINTER 2025

Subject Code:1142103

Date:17-11-2025

Subject Name: Depository Operations – II

Time:02:30 PM TO 04:30 PM

Total Marks:50

Instructions:

1. Attempt all questions.
2. Make suitable assumptions wherever necessary.
3. Figures to the right indicate full marks.
4. Simple and non-programmable scientific calculators are allowed.

- Q.1** (a) Write a short note on International Securities Identification Number. **05**
(b) Explain public issue procedure in detail. **05**
- Q.2** (a) What is Settlement? Explain Off – Market Settlement. **05**
(b) Discuss: Rights of hypothecator for corporate benefit. **05**
- OR**
- (b) What is Inter – depository transfer? Discuss it's mechanism. **05**
- Q.3** (a) What is dematerialisation? Explain its process of in detail. **05**
(b) State the reasons for rejection of Dematerialisation Request Form. **05**
- OR**
- Q.3** (a) What is Pledge and Hypothecation? Discuss its closure process. **05**
(b) Write a note on Invocation of Pledge. **05**
- Q.4** (a) Discuss types of corporate action in detail. **05**
(b) Explain the process of Pledge and Hypothecation. **05**
- OR**
- Q.4** (a) What is corporate action? Explain its procedure in detail. **05**
(b) Discuss: Settlement of Market Transection. **05**
- Q.5** (a) What is rematerialisation? Explain its process. **05**
(b) Write a note on the procedure of subscription and redemption of mutual fund units. **05**
- OR**
- Q.5** (a) Discuss various advantages and disadvantages of IPO. **05**
(b) Write a detailed note on Invocation of Hypothecation. **05**
