

GUJARAT TECHNOLOGICAL UNIVERSITY

B.VOC- SEMESTER-IV EXAMINATION – WINTER 2024

Subject Code:1142103

Date:26-11-2024

Subject Name: Depository Operations – II

Time:02:30 PM TO 04:30 PM

Total Marks:50

Instructions:

1. Attempt all questions.
2. Make suitable assumptions wherever necessary.
3. Figures to the right indicate full marks.
4. Simple and non-programmable scientific calculators are allowed.

- Q.1** (a) What is Settlement? Explain Off – Market Settlement. **05**
(b) Discuss various types of corporate action in detail. **05**
- Q.2** (a) Discuss International Securities Identification Number with suitable example. **05**
(b) Explain Invocation of Pledge in detail. **05**
- OR**
- (b) What is corporate action? Explain its procedure in detail. **05**
- Q.3** (a) State various advantages and disadvantages of IPO. **05**
(b) Explain Settlement of Market Transaction in detail. **05**
- OR**
- Q.3** (a) What is rematerialisation? Explain its process. **05**
(b) Discuss rights of hypothecator for corporate benefit. **05**
- Q.4** (a) What is IPO? Discuss its advantage and disadvantage. **05**
(b) Discuss the mechanism of Inter – depository transfer. **05**
- OR**
- Q.4** (a) Explain the concept of pledge and hypothecation. **05**
(b) Discuss the reasons for rejection of Dematerialisation Request Form (DRF). **05**
- Q.5** (a) Discuss the closure process for Pledge and Hypothecation. **05**
(b) Explain the procedure of subscription and redemption of mutual fund units. **05**
- OR**
- Q.5** (a) Explain the process of dematerialisation in detail. **05**
(b) Discuss important terminologies related to corporate action. **05**
