

**GUJARAT TECHNOLOGICAL UNIVERSITY****B.VOC - SEMESTER– IV EXAMINATION – WINTER 2023****Subject Code:1142103****Date:07-12-2023****Subject Name: Depository Operations – II****Time:02:30 PM TO 04:30 PM****Total Marks:50****Instructions:**

1. Attempt all questions.
2. Make suitable assumptions wherever necessary.
3. Figures to the right indicate full marks.
4. Simple and non-programmable scientific calculators are allowed.

- Q.1** (a) What is corporate action? Explain its types in detail. **05**  
 (b) Explain the process of dematerialisation in detail. **05**
- Q.2** (a) What is IPO? Discuss its advantage and disadvantage. **05**  
 (b) What is Dematerialisation? Give brief outline of International Securities Identification Number. **05**
- OR**
- (b) Discuss the process of Pledge and Hypothecation. **05**
- Q.3** (a) What is Settlement? Explain Off – Market Settlement. **05**  
 (b) Discuss the procedure for corporate action in detail. **05**
- OR**
- Q.3** (a) Discuss the procedure of subscription and redemption of mutual fund units. **05**  
 (b) What is Invocation of Pledge? Discuss in detail. **05**
- Q.4** (a) Discuss public issue procedure in detail. **05**  
 (b) Explain the process of rematerialisation. **05**
- OR**
- Q.4** (a) What is Inter – depository transfer? Discuss its mechanism. **05**  
 (b) Write a detailed note on Invocation of Hypothecation. **05**
- Q.5** (a) What is Pledge and Hypothecation? Discuss its closure process. **05**  
 (b) Write a note on Settlement of Market Transaction. **05**
- OR**
- Q.5** (a) State the reasons for rejection of DRF. **05**  
 (b) Discuss: Rights of hypothecator for corporate benefit. **05**

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