

GUJARAT TECHNOLOGICAL UNIVERSITY**B.VOC - SEMESTER- IV EXAMINATION – SUMMER 2024****Subject Code: 1142103****Date:20-05-2024****Subject Name: Depository Operations – II****Time:10:30 AM TO 12:30 PM****Total Marks:50****Instructions:**

1. Attempt all questions.
2. Make suitable assumptions wherever necessary.
3. Figures to the right indicate full marks.
4. Simple and non-programmable scientific calculators are allowed.

		Marks
Q.1	(a) What is dematerialization? Explain the process of dematerialization.	05
	(b) Write a short note on ISIN number.	05
Q.2	(a) Explain the concepts and procedures related to settlement of securities.	05
	(b) What is settlement? Discuss off market settlement	05
OR		
	(b) What is inter-depository transfer? Explain in brief.	05
Q.3	(a) Explain the concept of pledge and hypothecation.	05
	(b) Write a short note on Invocation of pledge.	05
OR		
Q.3	(a) Explain closure process of pledge and hypothecation.	05
	(b) Explain corporate benefits for pledged securities.	05
Q.4	(a) Discuss non-cash corporate action with examples.	05
	(b) Discuss advantages and disadvantages of IPO.	05
OR		
Q.4	(a) Explain the concept of corporate action.	05
	(b) Explain the procedure of public issue in detail.	05
Q.5	(a) Explain terminologies related to corporate action.	05
	(b) Discuss the procedure of rematerialisation in detail.	05
OR		
Q.5	(a) Write a note on subscription and redemption of mutual fund units.	05
	(b) Explain the concept of monetary corporate action.	05
