

Seat No.: _____

Enrolment No. _____

GUJARAT TECHNOLOGICAL UNIVERSITY

BVOC- SEMESTER- IV EXAMINATION – SUMMER 2023

Subject Code:1142103

Date:28-06-2023

Subject Name:Depository Operations – II

Time:10:30 AM TO 12:30 PM

Total Marks:50

Instructions:

1. Attempt all questions.
2. Make suitable assumptions wherever necessary.
3. Figures to the right indicate full marks.
4. Simple and non-programmable scientific calculators are allowed.

- Q.1** (a) Write a note on International Securities Identification Number. **05**
(b) What is inter- depository transfer? Explain in detail. **05**
- Q.2** (a) Explain the concept of Pledge and Hypothecation. **05**
(b) Discuss the procedure for Rematerialisation in detail. **05**
- OR**
- (b) State the reasons for rejection of DRF in detail. **05**
- Q.3** (a) Discuss the closure process of Pledge and Hypothecation. **05**
(b) Explain the procedure for monetary corporate action. **05**
- OR**
- Q.3** (a) Explain rights of hypothecator for corporate benefits. **05**
(b) Write a note on: Invocation of Pledge **05**
- Q.4** (a) What is Dematerialisation? Explain the process of dematerialisation. **05**
(b) Explain the procedure of subscription and redemption of mutual fund units. **05**
- OR**
- Q.4** (a) Discuss the process for settlement of Market Transection. **05**
(b) Discuss advantages and disadvantages of IPO. **05**
- Q.5** (a) What is settlement? Discuss off-market settlement. **05**
(b) What is Destamentization and Restatementization? **05**
- OR**
- Q.5** (a) Discuss public issue procedure in detail. **05**
(b) Write a note on Cash and non-cash corporate action. **05**
